

1 Systematic review of conservation interventions to promote voluntary behavior change

2

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22

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## 23 Abstract

24 Humanity is having ever greater impacts on the environment. Understanding human  
25 behaviour is vital to developing interventions that effectively lead to pro-environmental  
26 behaviour change, whether the focus is at the individual or societal level. However,  
27 interventions in many fields have historically lacked any robust form of evaluation, which  
28 makes it hard to be confident that these conservation intervention have successfully helped  
29 protect the environment. We conducted a systematic review to assess how effective non-  
30 pecuniary and non-regulatory intervention have been in changing environmental behaviour,  
31 adapting the Office of Health Assessment and Translation methodology. We started with  
32 more than 300,000 records and after critical appraisal of quality identified 128 individual  
33 studies that merited inclusion in the review. We classified interventions by thematic area,  
34 type of intervention, the number of times audiences were exposed to interventions, and the  
35 length of time for which interventions ran. Most studies reported a positive effect (n=96),  
36 while the next most common outcome was no effect (n=28). Few studies reported negative  
37 (n=1) or mixed (n=3) effects. We found strong evidence that education, prompts and  
38 feedback interventions can result in positive behaviour change. The strongest evidence  
39 comes from combining multiple interventions in one programme. Neither exposure duration  
40 nor frequency had an effect on the likelihood of desired behaviour change. We found  
41 comparatively few studies that tested the effects of voluntary interventions on non-Western  
42 populations, or that measured actual conservation behaviours. While prompts and education  
43 are well-studied, we are lacking evidence to support the use of conservation devices and  
44 demonstrations. There is a clear need to both improve the quality of impact evaluation  
45 conducted, and the reporting standards for intervention results.

## 46 Introduction

47 Humanity is having ever greater impacts on the environment, and those impacts are driven  
48 by human decision making (Lewis & Maslin, 2015). Many people lead unsustainable  
49 lifestyles, particularly in higher-income countries, contributing to major environmental issues  
50 like climate change and biodiversity loss (Cowling, 2014; Fischer et al., 2012). Problematic  
51 behaviours, such as excessive water and energy use, need to be addressed urgently  
52 (OECD, 2011). Accordingly, conservation as a discipline has increasingly embraced the  
53 social sciences to aid in the design and evaluation of behaviour change interventions  
54 (Bennett et al., 2017; Moon et al., 2019). Understanding human behaviour is vital to  
55 developing interventions that mitigate threats to the environment and effectively lead to pro-  
56 environmental behaviour change, whether the focus is at the individual or societal level.  
57 However, these interventions have historically lacked robust evaluation, which makes it hard  
58 to know whether (and how) conservation intervention have helped protect the natural world  
59 (Curzon & Kontoleon, 2016; Josefsson et al., 2020; Junker et al., 2020).

60  
61 Traditional responses to the environmental crisis have been mainly policy-based (Lucas et  
62 al., 2008; Science and Technology Select Committee, 2011). Central among them have  
63 been legislation that eliminates or restricts choice and fiscal incentives or disincentives  
64 (Lucas et al., 2008; Taylor et al., 2013). For example, governments have implemented  
65 restrictions on the disposal of waste, and charges for single-use carrier bags (Goodstein &  
66 Polasky, 2014; Poortinga et al., 2013). While important, these policies can be resource-  
67 intensive and require political will to implement (Allcott, 2011; Schubert, 2017). They may be  
68 politically unpopular as they are intrusive and involve the loss of liberty (although the

69 restriction of environmental harm may benefit the liberty of people in society more widely;  
70 Science and Technology Select Committee, 2011). Pecuniary interventions also require  
71 consistent funding in the long-term to be sustainable, and raises questions around autonomy  
72 and power, especially in socioeconomically disadvantaged groups (Marteau et al., 2009).  
73 Non-coercive approaches to behaviour change have received increasing interest because  
74 people retain the freedom to make the choice they wish without concern for legal or financial  
75 repercussions, and reliance on political will is lessened (Greenfield & Verissimo, 2018;  
76 Schubert, 2017).

77  
78 Research testing the effectiveness of these approaches have been conducted for decades  
79 (e.g., Asch & Shore, 1975; Krauss et al., 1978), but there still is not a cohesive body of  
80 evidence to guide policymakers and practitioners. This could be due to publication in multiple  
81 disciplines, including but not limited to social marketing, environmental education, and  
82 behavioural economics (Verissimo & Wan, 2018; Hungerford & Volk, 1990; Lehner et al.,  
83 2016). Syntheses of voluntary interventions in the environmental field do exist, but they tend  
84 to be narrative reviews, include only specific evidence types like randomised control trials  
85 (RCTs), focus on a select thematic area such as energy consumption, or test a specific  
86 intervention type like education (e.g., Abrahamse et al., 2005; Abrahamse & Steg, 2013,  
87 Byerly et al., 2018; Heimlich & Ardoin, 2008; Nisa et al., 2019; Schubert, 2017; Wolske et al.,  
88 2020; Wynes et al., 2018). Reviews also often include proxies for behaviour change, such as  
89 changes in behavioural intentions or attitudes. While these proxies have a role in research,  
90 their correlation with behaviour is not strong. For example, meta-analyses show that  
91 intentions account for only 28% percent of the variance in prospective measures of

92 behaviour (Sheeran, 2002). This systematic review focuses on actual behaviours with clear  
93 environmental impacts and incorporates a broader range of experimental designs and  
94 intervention types, as well as having a broader scope that explicitly includes the grey  
95 literature. It also includes a rigorous quality assessment process to ensure only robust  
96 methodologies are part of the final synthesis.

97  
98 Systematic reviews synthesise a body of evidence to explore specific research questions.  
99 They are the most reliable and comprehensive statement about what works, providing useful  
100 information for policymakers and practitioners (Johnson & Hennessy, 2019; Munn et al.,  
101 2018). The transparency and rigour of systematic reviews can be enhanced by following a  
102 set of accepted principles such as the Cochrane and Campbell Collaboration (2013)  
103 standards or the Office of Health Assessment and Translation (OHAT; Rooney et al., 2014)  
104 framework.

105  
106 The OHAT approach is a systematic review methodology to increase transparency,  
107 consistency and efficiency in summarizing environmental health-based findings, with the  
108 additional goal of improving data management and display (OHAT 2014). It draws on the  
109 best public health protocols (e.g., PRISMA, PECOTS, Campbell Collaboration) while being  
110 able to cope with the broader set of conditions and wide range of data types required in the  
111 wider environmental health sciences. For example, it allows for the inclusion of relevant and  
112 high-quality papers in the grey literature, to help minimise publication bias (Savoie et al.,  
113 2003). It also embraces experimental designs beyond RCTs, an important factor for

114 environmental reviews. It is not always feasible or appropriate to perform an RCT, and in  
115 some areas (such as biodiversity conservation) there are very few we can learn from.  
116 Including only these designs therefore excludes a large body of evidence (Christie, 2020).  
117 Moreover, there are a variety of alternative, rigorous, quasi-experimental designs, using  
118 techniques such as matching, synthetic control, or regression discontinuity to control for  
119 observed and unobserved covariates, that are comparable in levels of bias to RCTs (Christie  
120 et al., 2019; Pynegar et al., 2019). RCTs are also vulnerable to biases, for example linked to  
121 randomisation failure or differential attrition (Jadad & Enkin, 2007). Unless there are other  
122 risk factors (e.g., see Step 5 in the Methods), rigorous quasi-experimental designs can be  
123 treated with a similar level of confidence to RCTs.

124

125 In this systematic review, we explore how effective non-pecuniary and non-regulatory  
126 intervention have been in changing environmental behaviours. To do so, we include only  
127 studies measuring actual behaviour. In particular, we focus on the quality and rigour of the  
128 evidence base. We examine the strength of evidence that different types of interventions,  
129 such as feedback or goal-setting, will result in desired behaviour change. We also identify  
130 important gaps in the literature.

131

## 132 **Methods**

133 We adapted the OHAT seven-step framework for systematic reviews, as documented below  
134 (Akers et al., 2009; Rooney et al., 2014; Fig 1). The breadth and inclusive nature of the  
135 process enabled us to create some degree of standardisation across studies that varied in

136 experimental design and outcome measurement. We sent the review protocol that we  
137 developed to two external experts for feedback before the start of the study. As a result of  
138 this feedback, minor changes to the protocol were made, including the addition of keywords  
139 and clarification of the scope of the review.

140

#### 141 Step 1 – Problem formulation and protocol development

142 We wanted to cast a wide net to include all non-regulatory and non-pecuniary interventions  
143 which tried to solve an environmental threat by changing human behaviour. Studies may  
144 have been published in fields as distinct as waste management, sustainable transport, or  
145 social marketing. We specifically focussed on assessing the quality of the evidence base  
146 and identifying where there were gaps. We were also interested in direct behavioural  
147 measures, and not just proxies such as attitudes, intentions, or self-reported behaviour.  
148 Outcomes were behaviours with a clear environmental impact, such as water or energy  
149 consumption, travel mode choice (e.g., public transport versus car journeys), recycling  
150 participation (frequency and volume), or littering.

151

#### 152 Step 2 – Literature search, processing and selection

153 Between February and May 2015 we systematically searched across multiple databases to  
154 identify high quality and relevant studies from both the peer-reviewed and grey literature. We  
155 developed our keyword search strategy based on electronic searches of bibliographic  
156 databases or platforms, project funding databases and specialised internet search engines  
157 and repositories (summary in Table 1, full details in SI 1). The selected search terms

158 provided broad coverage of environmental behaviours and approaches, while keeping the  
159 focus on actual behaviours. No language or date restrictions were applied to the searches,  
160 although only English keywords were used. ISI Web of Science and EBSCOhost are  
161 platforms which provide access to a wide range of bibliographic databases, and the specific  
162 databases we accessed are listed in full in the SI 2. Other electronic databases and indexes  
163 for peer-reviewed literature included:

- 164 • SciVerse's Scopus
- 165 • International Bibliography of the Social Sciences
- 166 • PsycINFO
- 167 • Google Scholar
- 168 • Education Resources Information Center
- 169 • Environmental Evidence
- 170 • Campbell Collaboration systematic review database
- 171 • International Initiative for Impact Evaluation review database

172  
173 For grey literature we also searched:

- 174 • ProQuest Digital Dissertations and Theses
- 175 • PolicyFile
- 176 • My Environmental Education Resource Assistant

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- 177 • Canadian Evaluation Society Unpublished Literature Bank
- 178 • System for Information on Grey Literature in Europe
- 179 • CORDIS Library
- 180 • Fostering Sustainable Behaviour: Community Based Social Marketing
- 181 • Tools of change
- 182 • Rufford Foundation project database
- 183 • Conservation Leadership Award project database
- 184 • Rainforest Alliance Eco-Index
- 185 • Darwin Initiative Project Database

186 Boolean operators (i.e., “AND” and “OR”) were used as appropriate. For each database, the  
187 number of hits per search phrase in titles and abstracts were recorded. The number of  
188 records retrieved for the largest bibliographic databases/platforms are listed in SI 3. There  
189 were additional searches for both British and American English, i.e., ‘behaviour’ and  
190 ‘behaviour’. All searches were noted and tracked on a Microsoft Excel spreadsheet for  
191 reference.

192  
193 All records, including the full texts, were screened manually by two co-authors, AKYW and  
194 DV, who split the workload in half. Duplicates in the initial database of records were  
195 automatically identified through EndNote and then confirmed manually. We triaged the

196 studies in three stages: title, abstract and full-text review, and only included empirical,  
197 primary data studies. At each stage, studies were categorised as 'Accept', 'Maybe' or  
198 'Reject'. This was based on the explicit inclusion/exclusion criteria outlined in Table 2. Two  
199 reviewers independently tested the reliability of the triage process using 100 random  
200 records. We calculated agreement using Cohen's kappa coefficient, with a cut-off criterion  
201 for substantial agreement above 0.6 (McHugh, 2012).

### 202 Step 3 – Extract data from studies

203 Once we had a complete dataset of eligible studies, we extracted data for each record (SI 4).  
204 All studies were listed with administrative identifiers including record source, title, first author  
205 and publication year. We categorized them into one of six themes from the Community-  
206 Based Social Marketing classification (<https://cbsm.com/>; McKenzie-Mohr & Schultz, 2014) –  
207 agriculture and conservation, energy, transportation, water, waste and pollution, or a mix of  
208 multiple themes. As well as PECOTS (population, exposure, comparison, outcomes & time),  
209 further information on the intervention context, design and measure of outcomes were  
210 retrieved for each article to obtain data for an overall understanding of evaluation measures  
211 undertaken by project organizers.

212  
213 At this stage, we also developed a taxonomy of different intervention types. We drew on  
214 existing behavioural intervention taxonomies (namely Abraham & Michie, 2008; Dolan et al.,  
215 2012; Kok et al., 2016; Michie et al., 2015; Michie et al., 2013), but tailored it to the types of  
216 interventions that were present in the review studies. There were six main types: education,  
217 demonstrations, conservation devices, feedback, goal-setting, and prompts (Table 3).

218

219 Step 4 – Initial quality assessment of individual studies

220 Following OHAT guidelines we ranked each study based on i) quality of reporting, ii)  
221 relevance of experimental design to outcome, and iii) risk of bias (Rooney et al.,  
222 2014). Quality of reporting refers to how well a study was completed or reported.  
223 Relevance refers to the relevance of experimental design to the behavioural  
224 outcome. Risk of bias includes external validity or directness and applicability (i.e.,  
225 how well a study addresses the topic under review). The first two criteria were graded  
226 from 1 (low) to 3 (high), while risk of bias was graded from 1 (definitely high) to 4  
227 (definitely low). We then added the three grades for a total score out of 10. At this  
228 point, any study which scored less than six was removed from the review as it would  
229 be considered problematic in multiple key aspects of study quality (Office of Health  
230 Assessment and Translation, 2015).

231  
232 Step 5: Confidence rating for studies

233 At this stage in the OHAT protocol, similar studies would be clumped together to enable the  
234 processing of a large number of papers and to determine common threads. In our review,  
235 there are multiple ways in which we could categorise the studies (for example, thematic and  
236 intervention types). As a relatively small number of papers made it to this stage (Fig 2) and  
237 there is considerable heterogeneity in experimental design and behavioural outcomes, we  
238 rated each study individually.

239

240 We developed a confidence rating for each study based on the presence or absence of key  
241 features identified in the OHAT process (Schünemann et al., 2011). Studies earned one  
242 point for each of the following features that would increase our confidence in the study result:

- 243 i. *Exposure to intervention controlled by researchers.* The ability to largely eliminate  
244 confounding by randomising the allocation of exposure.
- 245 ii. *Exposure prior to outcome.* Exposure to intervention occurred prior to outcome  
246 measurements.
- 247 iii. *Individual outcome data.* Outcome measurements were collected at the individual  
248 level.
- 249 iv. *Comparison group used.* A comparison or control group was used within the study.
- 250 v. *Large magnitude of effect.* Studies that achieve >50% magnitude of effect relative to  
251 the control group within the population of a study received a +1.
- 252 vi. *Plausible intervention-outcome relationship.* If there is a degree of plausibility  
253 between the level of exposure and outcome, then it is more likely that the result did  
254 not occur due to chance. Is the degree of change in a population subject to the  
255 degree of a given exposure? This is especially relevant when looking at studies that  
256 vary degrees of the same exposure or in factorial study design, when multiple  
257 exposures are being applied in different combinations.
- 258 vii. *Residual confounding.* This refers to effect modification that would bias the effect  
259 estimate towards the null. Conversely, studies reporting no effect and remaining  
260 consistent across studies would move the effect estimate from the null. A score of +1

261 was awarded if the replicates were robust and comparable but with extremely  
262 variable results, while 0 was given if the treatment, intervention, and control were  
263 deemed to have low applicability.

264 viii. *Consistency amongst control and treatment populations.* This refers to extreme  
265 similarity in a population, notably the robustness of the replicates, comparable  
266 controls, intervention and treatment.

267  
268 However, the presence of any of these features that would decrease our confidence in the  
269 study result meant a one-point deduction:

270 i. *Risk of bias.* This was extrapolated from the OHAT Step 4 risk of bias score, where  
271 anything that scored 'probably high' or 'definitely high' qualified for the point  
272 deduction.

273 ii. *Unexplained inconsistency.* This referred to the external validity or indirect measures  
274 of the behavioural outcome, obtained by reading the results and discussion.

275 iii. *Indirectness.* This was assessed using the relevance scores from the OHAT Step 4,  
276 where low relevance qualified for the point deduction.

277 iv. *Imprecision.* This is the degree of certainty surrounding an effect estimate, and was  
278 assessed based on sample size, the power of the statistical methods used and their  
279 confidence intervals. For example, large standard deviations (i.e., the standard  
280 deviation is greater than the mean) or an odds ratio where the ratio of the upper to  
281 lower 95% confidence intervals is greater than 10.

282

283 As we were still rating individual studies rather than a body of evidence, we excluded the fifth  
284 feature suggested by OHAT, publication bias. We summed up the points for a maximum  
285 score of 8 and a minimum score of -4. Following OHAT protocol any study which scored 0 or  
286 less was removed from the review at this point, as we would have “very low confidence” in  
287 their outcomes (Office of Health Assessment and Translation, 2015). We were then able to  
288 give the remaining studies a confidence rating using these scores, “high” (>5), “moderate”  
289 (3-4) or “low” (1-2) confidence. 30 studies were independently reviewed by a second rater,  
290 and Cohen's kappa coefficient showed substantial agreement (0.67; McHugh, 2012).

291

292 Step 6: Translate confidence ratings into evidence of desired behaviour change

293 We extracted data on the behavioural outcomes of each study, noting whether they resulted  
294 in positive behaviour change or negative/no change. We then classified the level of evidence  
295 for desired behaviour change that each study provided according to their confidence ratings  
296 and direction of effect (Table 4). This strategy involved the use of three terms to describe the  
297 level of evidence for behavioural outcomes, “known”, “presumed”, and “suspected”, which  
298 were directly translated from the confidence-in-the-evidence ratings. As there were only four  
299 studies with negative or mixed results and they did not significantly impact our findings, we  
300 focussed on evidence that a given variable would lead to positive or *desired* behaviour  
301 change.

302 We were then able to collate the results from multiple studies to calculate the overall level of  
303 evidence for a given variable by calculating the mean of the numerical rating from different

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304 studies (from 3 to -3). If the mean confidence rating for a group of studies is less than 1,  
305 behaviour change is suspected. If it is between  $1 \geq X > 2$ , behaviour change is presumed. If  
306 it is between  $2 \geq X > 3$ , behaviour change is known. These terms were taken from the OHAT  
307 protocol (Office of Health Assessment and Translation, 2015). We also sought to calculate  
308 an effect size for different intervention types. However, the number of papers that reported  
309 these or enough information to allow for their calculation was insufficient to allow for a  
310 meaningful analysis. As such we did not include effect sizes in this analysis.

311

#### 312 Data analysis

313 The OHAT protocol acknowledges that disparate exposure and outcome assessments may  
314 preclude formal statistical meta-analysis and therefore does not specify statistical tests for  
315 outcomes (Office of Health Assessment and Translation, 2015). However, we conducted  
316 exploratory data analysis to further understand the relationships between variables. Our aim  
317 was to examine the effects of different variables on a) the distribution in the number of  
318 studies, b) variation in the quality of studies, and c) the strength of evidence that a given  
319 intervention results in desired behaviour change. Quality of studies refers to the confidence  
320 rating for each individual study, while strength of evidence is based on the mean scores  
321 calculated for a body of studies as described in step 6. We were interested in whether there  
322 were differences in these outcomes across time, space and thematic area. The type of  
323 intervention, the number of times audiences were exposed to the intervention, and the length  
324 of time for which the intervention ran were also potential variables of interest.

325

326 Data analysis was conducted in R version 4.0.0, and we selected the appropriate statistical  
327 tests based on the dependent and independent variables. We employed a Kendall Tau-b  
328 correlation to test the distribution in the number of studies across time, and Chi-Squared  
329 tests were used to examine the distribution in the number of studies across all the other  
330 variables of interest (region, theme, intervention type, duration of intervention, and the  
331 number of exposures to interventions). We calculated a Spearman's rank correlation  
332 coefficient to test whether there was a variation in the quality of studies across time, while  
333 we used a Kruskal-Wallis test for distribution in quality of studies across the remaining  
334 variables of interest. We calculated a Spearman's rank correlation coefficient to test whether  
335 the strength of evidence that a given intervention will result in desired behaviour change  
336 varies across time, and again we used a Kruskal-Wallis test for the other variables.

337

## 338 Results

339 From an initial identification of 338,408 records, we found 128 individual studies published in  
340 107 articles that met our quality criteria for inclusion (Fig 2). Confidence ratings for these  
341 studies varied from low (n=27), moderate (n=55), and high (n=48). Most studies reported a  
342 positive effect (n=96), while the next most common outcome was no effect (28). Few studies  
343 reported negative (n=1) or mixed (n=3) effects. Unfortunately, only 25 (19%) of the 128  
344 interventions reported enough detail in the statistical results to calculate a standardised  
345 effect size for meta-analyses.

## 346 Date of publication

347 Studies in this review had been published between 1975 to 2015. The variation in the  
348 number of studies published over this period is not statistically significant ( $z = 1.36$ ,  $p = 0.17$ ).



349 There has however been a significant improvement in the quality of studies conducted by  
350 publication year ( $r_s = 0.27$ ,  $p = 0.002$ ), and the strength of evidence that a given intervention  
351 would result in desired behaviour change has also increased with time ( $r_s = 0.21$ ,  $p = 0.02$ ).

352

#### 353 Location of intervention

354 There is an uneven distribution of studies across continents ( $\chi^2(5) = 172.19$ ,  $p < 0.001$ ), with  
355 a disproportionate number located in North America and Europe. There is also a significant  
356 variation in the quality of studies across regions ( $\chi^2(5) = 15.99$ ,  $p = 0.007$ ), with Europe  
357 featuring an above-average proportion of high-quality studies. The level of evidence that a  
358 given intervention will result in desired behaviour change also varies by study location ( $\chi^2(5)$   
359  $= 22.62$ ,  $p < 0.001$ ). Those conducted in Asia, Europe, and Oceania are more likely to find  
360 strong evidence that an intervention will result in the desired behaviour change (Fig 3).

361

#### 362 Study theme

363 Studies are unequally distributed across study themes ( $\chi^2(5) = 55.75$ ,  $p < 0.001$ ), and a  
364 disproportionate number focus on waste and energy: agriculture and conservation (5),  
365 energy (40), transport (17), waste (41), water (15), or a mixture of these (10). We are unable  
366 to reject the null hypothesis that there is no relationship between the quality of the studies  
367 and study theme ( $\chi^2(5) = 3.57$ ,  $p = 0.61$ ), however, nor can we reject the null hypothesis that  
368 there is no relationship between the likelihood of a given intervention resulting in behaviour  
369 change and the study theme ( $\chi^2(5) = 9.78$ ,  $p = 0.08$ ).

370

371 Intervention type

372 We found an uneven distribution of studies across intervention types ( $\chi^2(6) = 65.92$ ,  $p <$   
373  $0.001$ ), with a disproportionate number focussing on education interventions and very few  
374 looking at demonstrations: conservation device (8), demonstration (3), education (45),  
375 feedback (24), goal setting (10), prompt (24), or a combination of the different types (14).

376 The combinations are:

- 377 • 4 - Feedback, goal setting
- 378 • 3 - Education, conservation device
- 379 • 3 - Feedback, education
- 380 • 1 - Feedback, goal setting, and coaching
- 381 • 1 - Education, demonstration
- 382 • 1 - Prompt, conservation device
- 383 • 1 - Education, prompt, demonstration

384  
385 We are unable to reject the null hypothesis that there is no relationship between the quality  
386 of the studies in this review and the intervention type ( $\chi^2(6) = 7.74$ ,  $p = 0.26$ ), nor can we  
387 reject the null hypothesis that there is no relationship between the likelihood of a given  
388 intervention resulting in behaviour change and the intervention type ( $\chi^2(6) = 6.86$ ,  $p = 0.33$ ).  
389 However, we can assess the body of evidence for each type individually. Based on the  
390 quality and reported outcomes of studies examining interventions based on education,

391 prompts and feedback, and their outcomes, we can presume that desirable behaviour  
392 change will result from this intervention type (Fig 4). For goal-setting desirable behaviour  
393 change is only suspected. We did not rate interventions based on conservation devices and  
394 demonstrations due to the low number of studies focusing on these intervention types.  
395 However, our highest confidence rests on the use of multiple different intervention types, for  
396 which positive change is a known outcome.

397

#### 398 Intervention duration and exposure frequency

399 We identified interventions that ran from 10 minutes to multiple years, and audiences who  
400 may have been exposed to the intervention once, multiple times, or continually (e.g., a  
401 conservation device installed in the home). There was a significant variation in the number of  
402 studies by both intervention length ( $\chi^2(5) = 37.52, p < 0.001$ ) and nature of exposure ( $\chi^2(2)$   
403  $= 26.82, p < 0.001$ ), with a disproportionate amount lasting either less than one day or  
404 between one and three months, and involving multiple exposures. We did not find any  
405 evidence that study quality varies by intervention duration ( $\chi^2(5) = 9.2, p = 0.1$ ), or the  
406 number of times an audience was exposed to an intervention ( $\chi^2(2) = 0.86, p = 0.65$ ).  
407 Overall, we cannot reject the null hypotheses that there is no relationship between the  
408 likelihood of a given intervention resulting in behaviour change and the quantity of exposures  
409 ( $\chi^2(2) = 1.78, p = 0.41$ ; Fig 5) or the duration of the intervention ( $\chi^2(5) = 8.18, p = 0.15$ ).

410

411

412 The number of studies published varies significantly across all variables of interest except for  
413 publication date (Table 5; full details in text). However, the only variables for which we are  
414 able to detect a relationship with study quality and evidence for desired behaviour change  
415 are date of publication and location.

416

#### 417 Ineligible studies

418 Finally, we also explored trends in the studies that were removed for lacking controls or  
419 being of too low quality. We found that the publication of studies including controls has  
420 decreased over time ( $r_s = -0.19$ ,  $p = 0.03$ ). However, in the subset that do include a control,  
421 quality has improved ( $r_s = 0.23$ ,  $p = 0.01$ ). We are unable to reject the null hypothesis that  
422 there is no relationship between presence of a control ( $\chi^2(1) = 0.31$ ,  $p = 0.58$ ) or quality  
423 ( $\chi^2(5) = 5.51$ ,  $p = 0.36$ ) and grey versus peer-reviewed literature.

424

#### 425 Discussion

426 We identified strong evidence that education, prompts and feedback interventions can result  
427 in positive behaviour change. Given the current evidence base, we can conclude that  
428 combining multiple interventions in one campaign is most likely to lead to the desired  
429 outcomes. However, we still need to know what combinations of intervention types work  
430 best, for what behaviours, in what contexts, and for what duration and intensity. Surprisingly,  
431 we were unable to reject the null hypothesis that there is no relationship between the overall  
432 duration or frequency of exposure to the intervention and the likelihood of effecting positive  
433 behaviour change. However, the quality of the studies and the strength of the existing

434 evidence overall vary by both date of publication and location of study. This may be due to  
435 greater resources available to researchers in the West (Waldron et al., 2013).

436  
437 Geographic location was the only variable in our review to significantly impact the  
438 distribution, quality, and evidence strength of studies. This continental bias towards North  
439 America and Europe has unfortunately also been identified in other reviews of conservation  
440 research, and limits our ability to draw firm conclusions about interventions' effectiveness  
441 beyond these locations (Wilson et al., 2016). Previous research in behavioural science  
442 shows that there is substantial variability in experimental results across populations, and a  
443 lack of cultural diversity in research participants could skew responses to interventions  
444 (Henrich, Heine and Norenzayan, 2010).

445  
446 Results from the systematic review show an average of three high-quality studies published  
447 each year globally. At the same time, nearly two-thirds of eligible studies (223) had to be  
448 removed from further review as they failed to include an independent control. A rigorous  
449 impact evaluation should provide credible evidence by using an appropriate counterfactual to  
450 establish causal attribution (Ferraro, 2009). Control groups act as a counterfactual, thereby  
451 mitigating bias in the comparison of impacts when bias in allocation has been taken into  
452 account. Less than 20% of included studies presented enough statistical information to  
453 determine effect size. Unfortunately, the need to incorporate better-designed measurement  
454 protocols into environmental behaviour change interventions is another conclusion that  
455 repeatedly arises in reviews of the literature (Byerly et al., 2018; Delmas et al., 2013).

456 Indeed, Byerly et al. (2018) recently noted that many studies are poorly designed, lacking  
457 adequate controls and sufficient statistical power. Considering the exponential spread of  
458 anthropogenic threats to the environment and the urgency for effective mitigation strategies  
459 it is vital that we improve the rigour with which we approach impact evaluation, and pay this  
460 issue the attention it deserves (Cowling, 2014; Fischer et al., 2012). This widespread and  
461 systemic failure of the field should be a call to action for all conservation social scientists.

462

### 463 *Narrative synthesis*

464 We conducted a narrative synthesis to explore major themes and relationships between and  
465 within these studies, in order to identify any factors contributing to their reported success or  
466 failure (Popay et al., 2006). The cohort of studies span a forty-year period, and more recent  
467 studies build upon findings from earlier benchmark studies. For example, Carrico's  
468 intervention design (Carricio, 2005) focusing on motivational feedback was informed by  
469 Becker's findings that goal commitment without feedback is ineffective (Becker, 1978).  
470 Further, most of the studies were informed by the large body of current behavioural theories  
471 in the social sciences, such as nudge theory (Baca-Motes, 2013, Baird, 2014), moral norms  
472 (Ayres, 2012; Thørgesen, 1997), the theory of planned behaviour (Thørgesen, 2009), habit  
473 hypothesis (Bamberg 2006) and motivational feedback (Becker 1978).

474 The design of an intervention seemed strongly related to the problem it was intended to  
475 address. For example, researchers working on energy consumption tended to employ multi-  
476 faceted interventions including the provision of educational material (Carrico 2009),  
477 individual consumption feedback (Hayes 1977), and peer feedback and goal setting (Loock

478 2013), whereas those focused on litter prevention and waste management tested more  
479 visual interventions such as information via signs and prompts (Sussman 2013, Hansmann  
480 2003).

481 The exposure of the targeted audience to the interventions varied widely, ranging from a  
482 one-off exposure event to months or even years, as well as variability in the number of times  
483 the intervention was implemented. There appears to be little consensus on what are  
484 appropriate exposure times for eliciting a behavioural response. Several studies noted the  
485 importance of continuous follow-up or lack of follow-up and lengthening exposure time to  
486 increase likelihood of a successful outcome (Baca-Motes 2013; Baird 2014; Harrigan 1994;  
487 Harrigan 1994; Hayes 1977), yet few studies included long-term monitoring of behaviours.  
488 When studies did conduct long-term monitoring, the initial reported positive behavioural  
489 changes were often found to diminish over time, perhaps suggesting a need for consistency  
490 in interventions over a longer period of time in order to achieve the desired long-term  
491 behavioural change. This is despite the likely attrition of participants who have ceased  
492 behaviour change, compared to those who maintained the desired behaviour change.  
493 Unfortunately, the variability between studies, such as the length of the intervention to the  
494 follow-up duration limits our ability to suggest any guidelines on a best practice timeframe for  
495 future research.

496  
497 Rarely, outcomes besides the intended pro-environmental behaviour were noted.  
498 Unintended detrimental effects following interventions were cited in several studies. For  
499 example, increased energy usage after receiving peer feedback (Ayers 2012). Different

500 reasons were put forward to explain these undesirable outcomes, including the 'boomerang  
501 effect' and 'moral licensing' (Ayres 2012, Nomura 2011). Tiefenbeck (2013) recorded moral  
502 licensing when participants in a water conservation campaign reduced their water  
503 consumption as intended, but also increased their electricity use.

504

505 Unintended or additional outcomes can also be beneficial, such as in the case of positive  
506 spillover (Evans 2012; Haq 2008). For example, environmental messages that promote car-  
507 sharing for reasons other than personal benefit, may also lead to an uptake in recycling  
508 (Evans 2012). However, cross-domain adoption of additional pro-environmental behaviours  
509 as a byproduct of interventions was not commonly measured (n=6).

510

511 Finally, one common theme that emerged throughout this review is that impactful or novel  
512 studies tended to include strategies that made personal connections between the broader  
513 issues and the targeted audience. For example, eliciting emotional responses; building  
514 empathy or aligning with an individual's internal standards (Hansmann 2003). Strategies that  
515 lead to an emotional reaction can result in a positive behavioural change (Sussman 2013).  
516 These approaches result in individual-led action, linked to high-motivation methods and  
517 making cognitive connections. This suggests that if an intervention is thought-provoking and  
518 connects with audiences on a higher cognitive level, it is more likely to result in positive  
519 behaviour change (Hansmann 2003; Miller 2009).

520



521 Comparison with other reviews

522 During the initial literature search we tried to be as inclusive as possible. We used broad  
523 search terms, and searched in multiple bibliographic databases and languages (Haddaway  
524 & Macura, 2018). We also included a wide breadth of journals from different disciplines,  
525 although a more formal effort to benchmark search comprehensiveness using a set of  
526 papers already identified within a category would have allowed us to better understand the  
527 degree to which we are capturing all the relevant literature. What distinguishes our review  
528 from previous work is the broad screening criteria for experimental design and subject focus,  
529 and the thorough critical appraisal process we used to classify all the studies that met  
530 inclusion criteria. Instead of vote-counting based on statistical significance or the exclusive  
531 on one kind of experimental design such as randomised controlled trials, we weighted the  
532 studies by quality to measure the strength of evidence (Haddaway & Macura, 2018). In  
533 addition, any study which did not meet a certain threshold for rigour was removed from the  
534 final analysis. This means that all the studies in our review still meet key standards of  
535 robustness, which is important as previous reviews have found behavioural effect sizes  
536 varying with study rigour (Delmas et al., 2013). We also included a wide range of grey  
537 literature, which is often overlooked in other reviews due to concerns about study quality.  
538 However, we found no significant differences in quality between the grey and peer-reviewed  
539 literature.

540

541 Previous reviews have also suggested that although single policy tools frequently fail to  
542 reduce household energy consumption, synergistic effects can come from combining  
543 interventions (Dietz et al., 2009). For example, the most effective interventions for daily

544 energy-use behaviours generally involve a mixture of mass-media messages, household-  
545 specific information, and social influences. Indeed, combining feedback with goal setting has  
546 been shown to work particularly well in the energy sector (Abrahamse et al., 2005). This  
547 echoes a notable finding from our review, that we have strong evidence to support the  
548 effectiveness of multiple interventions, such as feedback + goal setting, and education +  
549 conservation devices.

550  
551 Previous reviews of behaviour change interventions in fields such as public health have  
552 suggested that intervention success may be linked to intensity. The weight of evidence  
553 shows campaigns with longer duration or more frequent contact time can lead to positive  
554 outcomes such as greater weight loss or a reduction in sexual risk behaviours (Chandra-  
555 Mouli et al., 2015; Greenhow, 2011; Robin et al., 2004). However, interventions often vary  
556 considerably in duration and delivery, preventing even a descriptive analysis let alone the  
557 identification of an optimal formula (Durlak & DuPre, 2008; McCoy et al., 2010; Wei et al.,  
558 2011). We found no clear link between intervention success and duration of exposure, but  
559 there may be heterogeneity amongst different intervention types. This should be a priority  
560 area for future research.

561  
562 **Methodological considerations**

563 As with all syntheses, there is the possibility that the studies we identified were subject to the  
564 “file-drawer effect”, or a bias towards the publication of studies with positive/significant  
565 results (Franco et al., 2014; Scargle, 2000). For example, publication bias has been shown

566 in previous reviews of behavioural science literature (Francis, 2012). A visual assessment of  
567 outcome distributions (the number of studies published with positive rather than negative or  
568 no change) certainly suggests that publication bias may be a concern in Asia, Africa,  
569 Europe, and Oceania, but less so in North and South America (Fig 3). Further, the absence  
570 of any published studies showing negative results for intervention types such as  
571 conservation devices or demonstrations is alarming, and limits what we can say about the  
572 true effectiveness of these interventions.

573  
574 Research from the medical field shows that when studies are pre-registered, negative  
575 outcomes are more likely (Dwan et al., 2008). Moves by journals such as Conservation  
576 Biology to allow pre-registration are a step in the right direction to address publication bias.  
577 For this review, we tried to mitigate publication bias by searching both the peer-reviewed and  
578 grey literature (Haddaway & Macura, 2018). We also included a wide breadth of journals  
579 from different disciplines. Our focus on direct behavioural outcomes likely restricted eligible  
580 studies to topics where behaviour can feasibly be measured. This does not mean that  
581 interventions have been ineffective in changing more elusive behaviours such as  
582 consumption of illegal wildlife trade products, but rather we do not yet have enough evidence  
583 to come to a confident conclusion (Veríssimo & Wan, 2018). In addition, grouping diverse  
584 behaviours, from water use to transport choices, may have masked interesting trends in the  
585 relative effectiveness of different interventions (Heimlich & Ardoin, 2008). Currently,  
586 however, the lack of studies prevents a more detailed analysis of these possible interactions.

587

588 Literature searches were conducted in 2015, and resources have not been available to  
589 update the initial search. This suggests an important trade-off between the  
590 comprehensiveness of a search strategy and the ability to produce syntheses in a timely  
591 manner. Future reviews should consider carefully the extent of their scope, using tools such  
592 as forward and backward citation search as a potential way to ensure targeted searches still  
593 remain comprehensive.

594  
595 Since 2015, multiple high-quality, rigorous studies have been published that would have met  
596 the criteria for our review (Schwartz et al., 2020; Weigel et al., 2021; Wolstenholme et al.,  
597 2020). It is possible that including these studies would have improved the average  
598 robustness of the research featured in this review. However, it is also worth noting that the  
599 latest literature on the use of behavioural science to conserve biodiversity continues to  
600 identify most, if not all, of the challenges we highlighted above, including lack of controls,  
601 narrow geographic focus, and failure to measure actual behaviours (Balmford et al., 2021;  
602 Nilsson et al., 2020; Palm-Forster et al., 2019).

603  
604 Conclusion

605 Several key gaps in the literature need to be addressed. We found comparatively few  
606 studies that tested the effects of voluntary interventions on non-Western populations, or that  
607 measured actual conservation behaviours. While prompts and education are well-studied,  
608 we are lacking evidence to support the use of conservation devices and demonstrations.  
609 Future researchers should aim to fill these gaps, and should also improve reporting

610 standards. More detail is needed both on the statistical front to enable the calculation of  
611 effect sizes and in terms of intervention implementation. For example, we had to use a  
612 coarse categorisation scheme for the duration and frequency of exposure analyses. If we  
613 had the quantity of information for more fine-grained analysis, our results would be more  
614 robust. Finally, we need to investigate the extent to which behaviour change persists after  
615 the intervention has ceased (Burns & Savan, 2018; Byerly et al., 2018)

616  
617 We demonstrate there is strong evidence that a range of different, well-designed intervention  
618 types can result in desired behaviour change. Notably, the strongest evidence comes from  
619 the combination of multiple intervention types, for example, both conservation devices and  
620 education. Encouragingly, we found successful interventions across a range of durations  
621 and exposures, indicated that behaviour change can occur from even short-term efforts. This  
622 is not to neglect the role of governments and industry in addressing major environmental  
623 issues, but instead highlights some of the effective approaches they can use to maximise  
624 impact. The findings from this review should be used by practitioners to guide future  
625 interventions, and by researchers to inform future studies.

626  
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630  
631 References

632

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848 **Table 1.** Keywords and terms used during the comprehensive literature search.

“education” AND OR “conservation” OR “outdoor” OR “ecology” OR “adventure” OR “global” OR “field studies”
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“social marketing” AND “conservation” OR “biodiversity” OR “species” OR “habitat” OR “wildlife” OR “nature” OR “environment”
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“community based conservation” OR “community-based conservation”
“integrated conservation and development”
“community conservation”
“community based natural resource management” OR “community-based natural resource management”
“energy conservation” AND “behaviour change”
“water conservation” AND “behaviour change”
“recycling” AND “behaviour change”
“littering” AND “behaviour change”
“source reduction” AND “waste” AND “behaviour change”
“reducing consumption” AND “behaviour change”
“composting” AND “behaviour change”
“carpooling” AND “behaviour change”

“fuel efficient vehicles” AND “behaviour change”
“walking” AND “behaviour change”
“mass transit” AND “behaviour change”
“biking” AND “behaviour change”
“volunteering” AND “behaviour”

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**Table 2.** Inclusion and exclusion criteria used to determine study eligibility

<b>Inclusion Criteria</b>	
Population	No age or geographic restrictions
Exposure	The intervention must not be pecuniary or regulatory
Comparators	Must include a control  The control must be independent  There should be a rationale detailing why the control is comparable to treatment
Outcomes	Must have a behavioural outcome (i.e., not just knowledge, attitudes or

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norms)

The behavioural outcome must be relevant to the environment

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**Table 3.** Taxonomy of intervention types.

Intervention type	Definition	Example
Education	Involves imparting information to increase knowledge or understanding of a behaviour or issue	Visiting households to discuss the benefits of recycling and the local recycling service (Cotterill et al., 2009)
Demonstrations	Model a desired behaviour, enabling audiences to learn by observation	Conspicuously disposing of food waste into the appropriate receptacle in a restaurant (Sussman & Gifford, 2013)
Conservation devices	Facilitate the performance of a desired behaviour with new technologies or improved	Supplying more energy-efficient stoves to reduce fuelwood consumption (Yin, 2013)

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	services	
Feedback	Provides data on personal behaviour, possibly with comparison to a stated goal or the behaviour of others	Home energy reports featuring personalized energy use feedback (Allcott & Rogers, 2014)
Goal-setting	Encourages audiences to commit to an explicit behavioural target	Asking energy consumers to set a specific energy-saving goal (Loock et al., 2013)
Prompts	Uses environmental or social cues to remind audiences to perform a behaviour	Displaying signs with persuasive messages to remind tourists to pick up litter (Brown et al., 2010).

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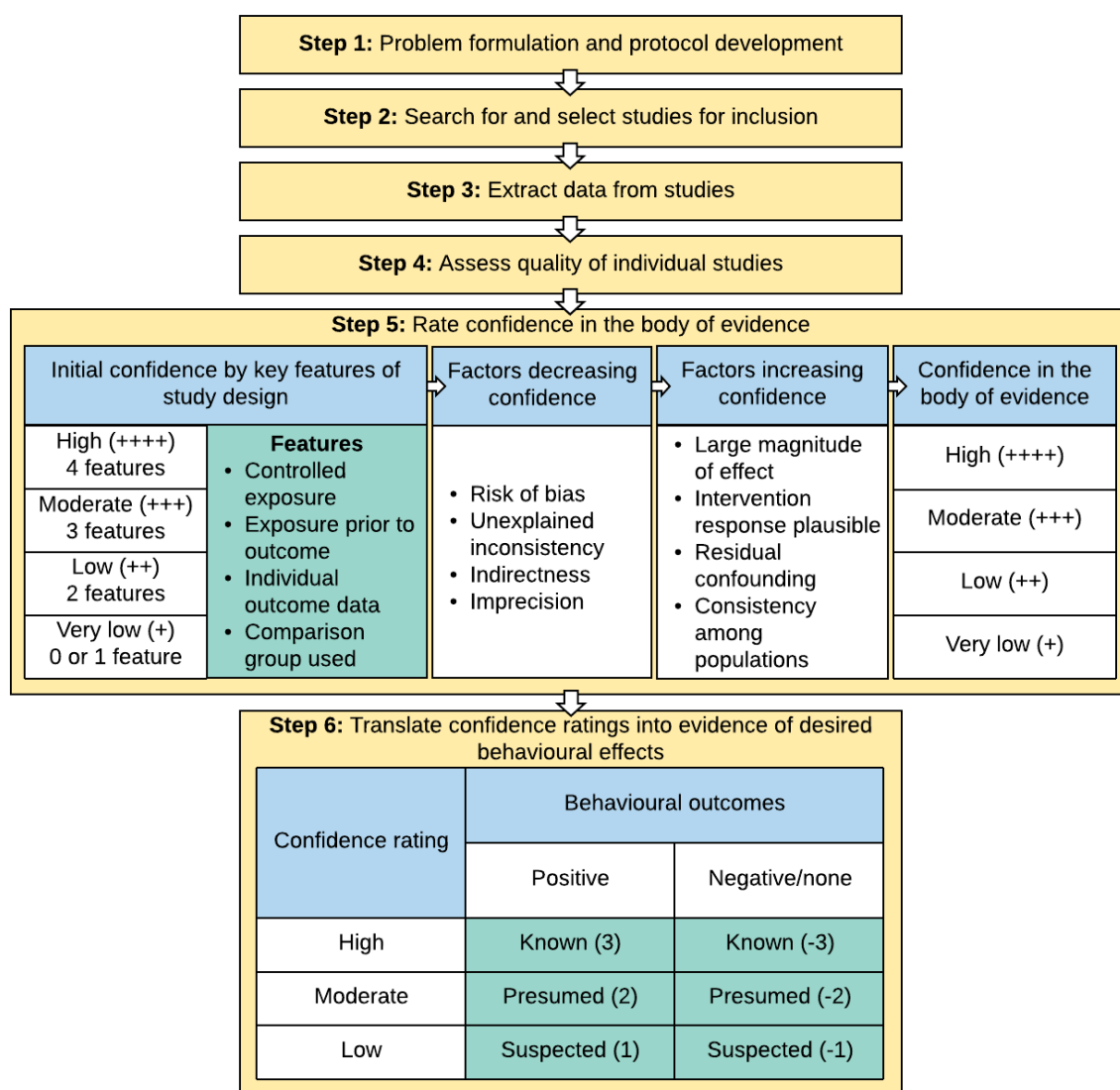
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**Table 4.** Evidence of desired behaviour change

Confidence rating	Behavioural outcomes	
	Positive	Negative/none
High	Known (3)	Known (-3)
Moderate	Presumed (2)	Presumed (-2)
Low	Suspected (1)	Suspected (-1)

**Table 5.** Summary of variable effects on outcomes of interest

Variable	Number	Quality	Evidence
Publication date	$Z = 1.36, p=0.17$	$rs = 0.27, p<0.01$	$rs = 0.21, p=0.02$
Location	$\chi^2(5) = 172.19, p<0.01$	$\chi^2(5) = 15.99, p<0.01$	$\chi^2(5) = 22.62, p<0.01$
Thematic area	$\chi^2(5) = 55.75, p<0.01$	$\chi^2(5) = 3.57, p=0.61$	$\chi^2(5) = 9.78, p=0.08$
Intervention type	$\chi^2(6) = 65.92, p<0.01$	$\chi^2(6) = 7.74, p=0.26$	$\chi^2(6) = 6.86, p=0.33$
Intervention length	$\chi^2(5) = 37.52, p<0.01$	$\chi^2(5) = 9.2, p=0.1$	$\chi^2(5) = 8.18, p=0.15$
Number of exposures	$\chi^2(2) = 26.82, p<0.01$	$\chi^2(2) = 0.86, p=0.65$	$\chi^2(2) = 1.78, p=0.41$

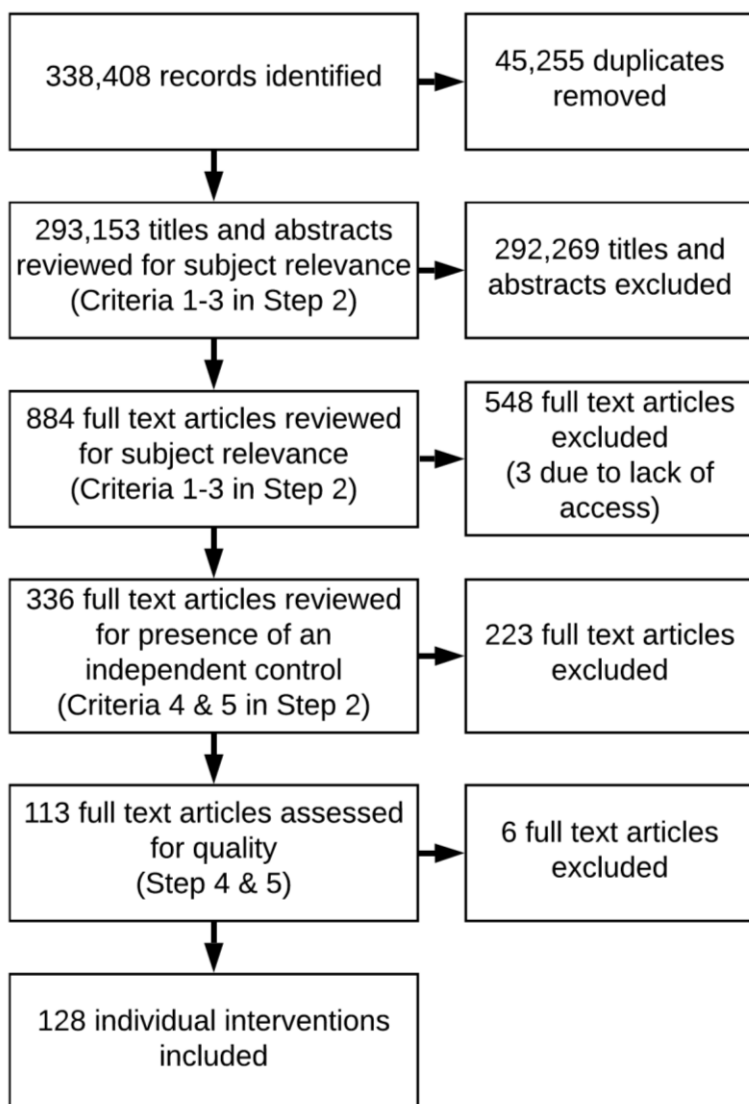


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**Figure 1.** Adaptation of OHAT (2014) systematic literature review protocol.

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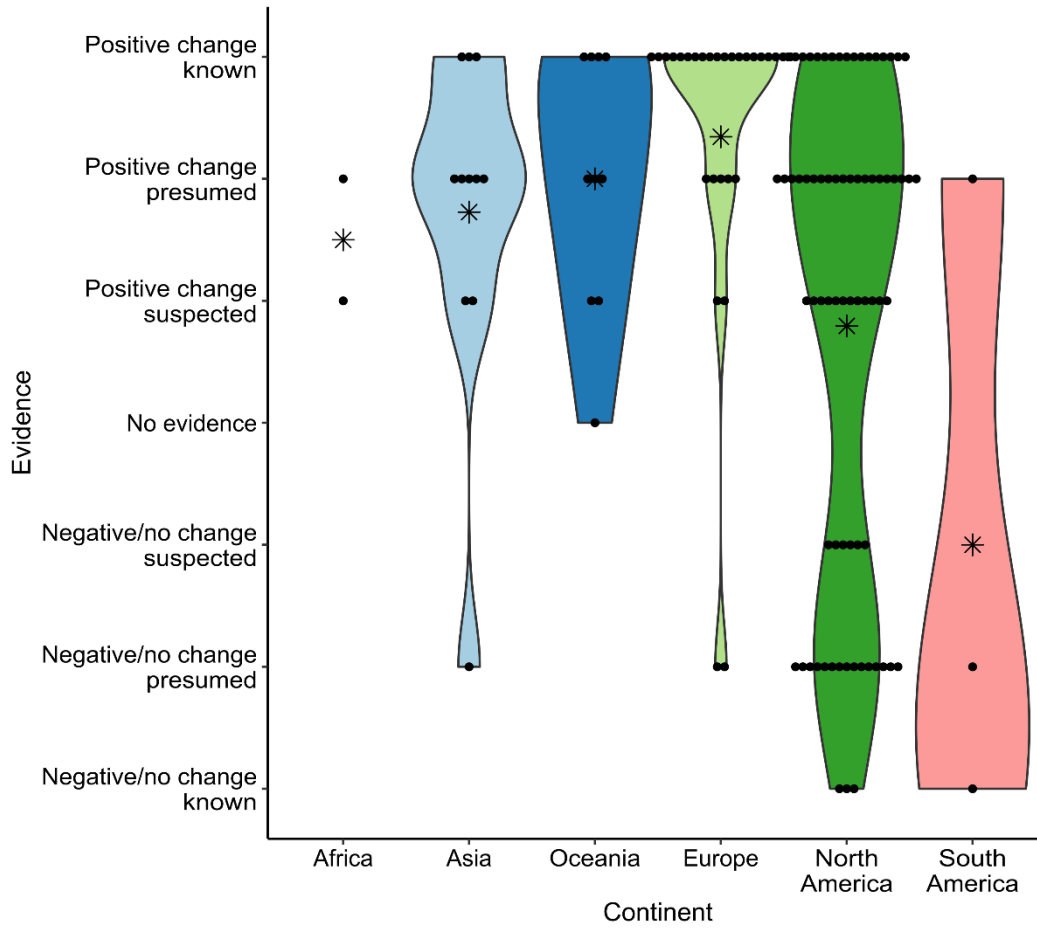
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**Figure 2.** Selection of articles for systematic review

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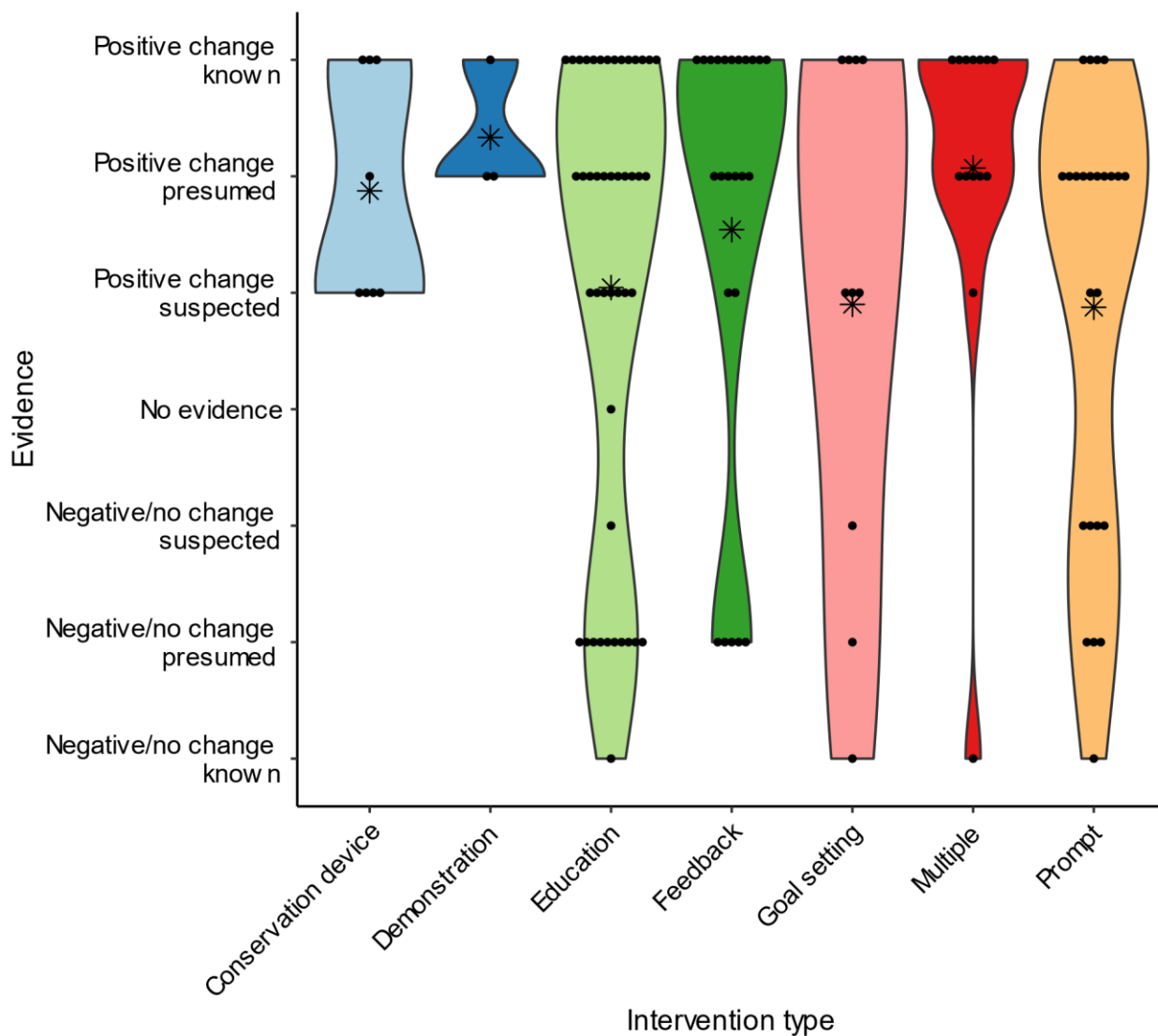
**Figure 3.** Likelihood that a given intervention will effect desired behaviour change by study location

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**Figure 4.** Likelihood that a given intervention type will result in desired behaviour change, \* = overall behavioural categorisation

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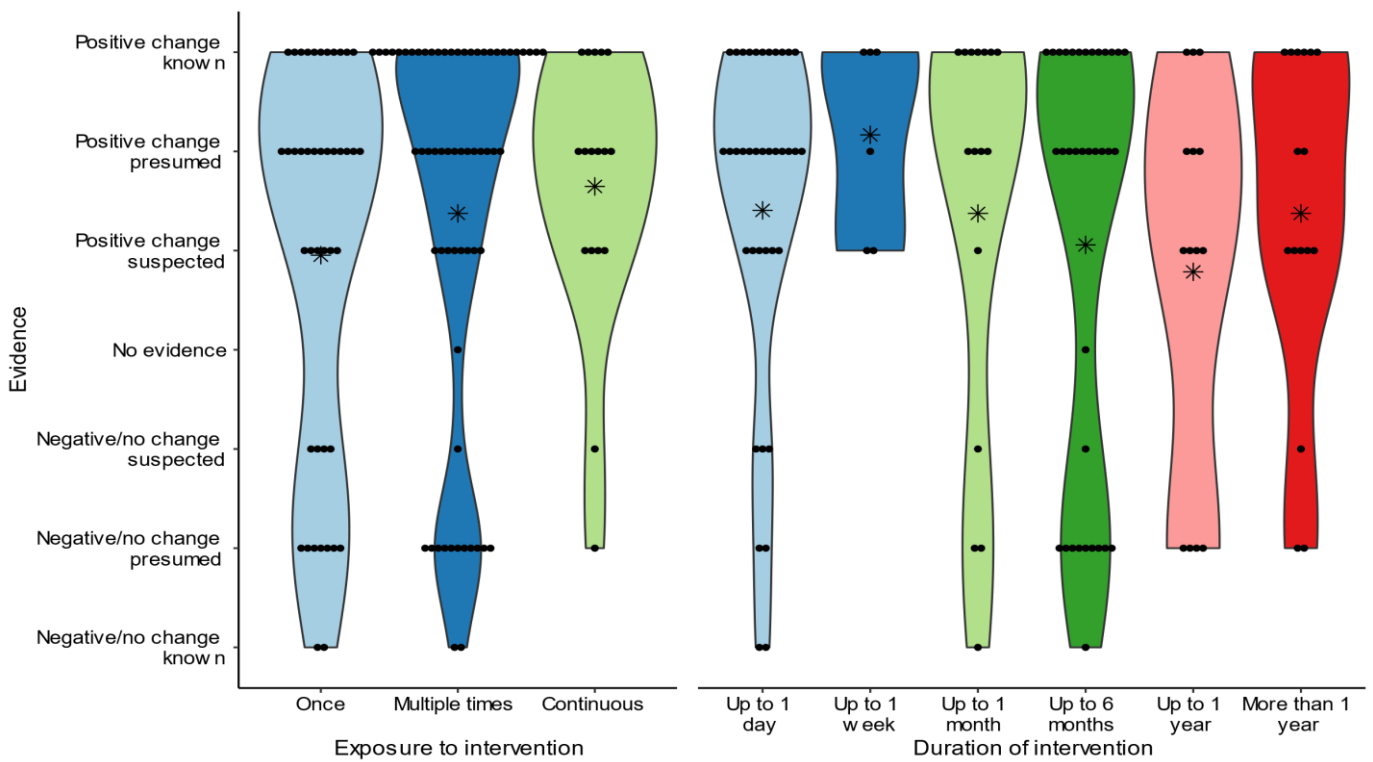
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**Figure 5.** Likelihood that a given exposure type or duration length will result in desired behaviour change.



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